Supporting knowledge translation through collaborative translational research initiatives: ‘Bridging’ versus ‘blurring’ boundary-spanning approaches in the UK CLAHRC initiative

Sarah Evans*, Harry Scarbrough

*Innovation, Knowledge & Organisational Networks (IKON) Research Unit, Warwick Business School, University of Warwick, UK
Keel Management School, Darwin Building, Keele University, Staffordshire ST5 5BG, UK

A R T I C L E   I N F O

Article history:
Available online 31 January 2014

Keywords:
Knowledge translation
Boundary-spanning
Professional boundaries
Collaboration
Translational research initiatives
Healthcare management
CLAHRCs
UK

A B S T R A C T

Recent policy initiatives in the UK and internationally have sought to promote knowledge translation between the ‘producers’ and ‘users’ of research. Within this paper we explore how boundary-spanning interventions used within such initiatives can support knowledge translation between diverse groups. Using qualitative data from a 3-year research study conducted from January 2010 to December 2012 of two case-sites drawn from the CLAHRC initiative in the UK, we distinguish two different approaches to supporting knowledge translation; a ‘bridging’ approach that involves designated roles, discrete events and activities to span the boundaries between communities, and a ‘blurring’ approach that de-emphasises the boundaries between groups, enabling a more continuous process of knowledge translation as part of day-to-day work-practices. In this paper, we identify and differentiate these boundary-spanning approaches and describe how they emerged from the context defined by the wider CLAHRC networks. This highlights the need to develop a more contextualised analysis of the boundary-spanning that underpins knowledge translation processes, relating this to the distinctive features of a particular case.

© 2014 Elsevier Ltd. All rights reserved.

Introduction

In recent years, greater recognition of the importance of knowledge translation for healthcare improvement has prompted the development of explicit initiatives aimed at translating research evidence into policy and practice (Lang, Wyer, & Haynes, 2007). One approach taken by health research funding agencies has been to commission collaborative entities in which researchers work closely with other stakeholder groups (such as practitioner groups and policy representatives). Examples include academic health centres and practice-based networks in the USA (Agency for Healthcare Research & Quality, 2012), a variety of knowledge translation initiatives and institutes set up by Canadian policy (Canadian Institute of Health Research, 2012), and various centres and networks commissioned by the UK’s National Institute of Health Research (NIHR) (National Institute for Health Research, 2012). These act as system-level interventions, which seek to create an environment in which research and evidence can be more readily applied in practice (Boyko, et al., 2012). Each programme is characterised by a particular strategic approach to assembling the mechanisms and processes needed to support knowledge translation across the boundaries of stakeholder groups.

In this paper, we contribute to literature on the role of these translational initiatives by presenting findings from an empirical study of the CLAHRC (Collaborations for Leadership in Applied Health Research and Care) initiative in the UK. Nine CLAHRCs, each encompassing a university in partnership with local NHS bodies were funded by the NIHR over the period 2008–2013. Through our case-study analysis of two different CLAHRC collaborations, we propose a characterisation of two boundary-spanning approaches based on how they achieve knowledge translation. These we term ‘bridging’ and ‘blurring’ approaches. Further, through analysis of the interplay between the contextual attributes of each case and the enactment of these boundary-spanning approaches, we explore the importance of such features in influencing emergent patterns of knowledge translation.

Background and context

The role of policy-driven strategies encouraging collaborative practices to support knowledge translation in healthcare is widely debated (Denis & Lomas, 2003; Rynes, Bartunek & Daft, 2001). Central to this emerging literature is an understanding that knowledge cannot easily be transferred between different ‘communities of
practice’ (Carlile, 2004; Oborn, Barrett, & Racko, 2013) because dis-similar communities produce, share and apply knowledge according to the practices and tenets of ‘different worlds’ (Caplan, 1979). For example, academic-researchers may prioritise the production of explicit forms of knowledge such as academic papers, whereas clinical-professionals use tacit ‘know-how’ to inform their practice (Bartunek et al., 2003).

Building on studies in the healthcare-management field that have established the difficulties of mobilising knowledge across the different settings of research and practice, the existing literature has particularly focused on: a) synthesising the types of strategies used (e.g. Mitton et al., 2007; Sudsawad, 2007; Tetroe et al., 2008); b) developing frameworks and tools for the evaluative development of knowledge translation (e.g. Boyko et al., 2012; Contandriopoulos et al., 2010); and c) the use of particular inter-ventional mechanisms, with examples ranging from knowledge-broker roles for individuals (Dobbins et al., 2009; Lomas, 2007; Ward, House & Hamer, 2009); organisational-level activities such as exchange forums (Baumbusch et al. 2008; Lavis, 2006); and institutional-level activities such as the CIHR integrated knowledge translation processes (e.g. CIHR, 2010). It is the ‘externally-directed’ boundary between the different communities of the ‘producers’ and ‘users’ of healthcare research (Bartunek et al. 2003) that is most recognised as the focus of these interventions, but they can also be directed ‘internally’ toward the more subtle boundaries within a profession (Martin, Currie & Finn, 2009; Powell & Davies, 2012) or between members of the same organisational entity (Bate, 2000). Despite the attention given to boundary-spanning mechanisms and processes within the existing literature, there has been relatively limited empirical investigation of knowledge translation within the healthcare setting. Existing models tend to be based on conceptual developments (Crilly, Jashapara, & Ferlie, 2010), rather than on ‘real world applications’ (Mitton et al. 2007: Ward, Smith, House, & Hamer, 2012). Within those studies, however, much work has sought to focus on the gap between researchers and policy-makers caused by different epistemic positions or ways of conceptualising knowledge (Knorr-Cetina, 1999). This focus is reflected in a concern with boundary-spanning activities, roles such as knowledge brokers, and artefacts such as boundary objects (Crilly et al. 2010; Wenger, 1998; Williams, 2002).

One consequence of this concern with the gap between communities is that existing work often relies on the metaphor of a ‘bridge’ to depict the boundary-spanning activities involved in knowledge translation (Hartling et al. 2007; Lavis, 2006; Straus, Tetroe, & Graham, 2009). One important limitation of this narrow focus on bridging interventions, is that it neglects the influence of context on knowledge translation. Thus, in a recent study of the CLAHRC initiative, Oborn et al. (2013) note the need to ‘position’ brokers and boundary objects ‘within the broader networks of research and practice’ to ‘enable insight into current translational processes’ (2013 p. 422). More generally, Boyko et al. (2012) calls for studies of how knowledge translation models are applied for different issues or in different contexts in order to understand how specific features might be tailored to achieve certain outcomes. This need to address context is also emphasised in other work (e.g. Ward et al. 2012) with it being an integral component of several tools designed to consider knowledge translation activity (e.g. Dobrow et al., 2006; Estabrooks et al. 2009; Kitson et al. 2008). In short, knowledge translation is deeply embedded in a complex array of organisational, policy and institutional contexts (Contandriopoulos et al. 2010).

In our study of the CLAHRC initiative we therefore adopted as our overarching research problem the influence of the CLAHRC as an organisational context – that is, the structure, leadership and management of the CLAHRC – upon the process of knowledge translation between research and practice. Within that process, and reflecting the previous work highlighted above, our concern was with the way in which boundaries between relevant communities were spanned to enable knowledge translation.

In seeking to position knowledge translation within its context, we also sought to recognise theoretical issues highlighted in recent studies. These recent studies emphasise the role of epistemic differences and political imbalances between groups in defining what becomes accepted as knowledge (Asimakou, 2009). They also highlight an over-emphasis on explicit forms of knowledge in established models, neglecting the importance of socialisation and tacit forms of knowledge (Oborn et al. 2013; Wenger, 1998). Moreover, where existing models tend to view context as an objective force operating upon knowledge translation, work within the domain of organisation theory emphasises the actions of individuals and groups in interpreting and constructing that context. It was important, therefore, to recognise within our study the role of leadership and agency in promoting an interpretation of context that ‘legitimises a particular form of action’ (Grint, 2005). Likewise, we viewed the boundaries observed within a particular context, as between communities within a CLAHRC, and between the CLAHRC and its wider environment, not as a fixed and static phenomenon, but rather as dynamic with some boundaries becoming more salient and others decaying over time (Barrett et al. 2012). While this view of context precludes a simple contingency model of knowledge translation processes, it does highlight the salience over time of particular contextual features, and these provided an important focus for our empirical work.

Empirical field and methods

The findings presented within this paper derive from two UK initiatives which were commissioned under the NIHR CLAHRC programme. They were given a remit to develop an organisational model that could support translational work for the purpose of conducting applied-health research and implementation in issues around service delivery for chronic and mental health conditions. Designed as environments for trans-disciplinary collaborative work, they brought together academic researchers with experts from the fields of healthcare management and practice. They involved partnerships between organisations within the same locality, including universities, local healthcare organisations (e.g. acute hospitals, mental health trusts and primary care trusts), and other relevant groups (e.g. local authority, third-sector organisations and charities). In effect, each CLAHRC was designed not to pursue discrete implementation activities, but instead sought to develop new organisational models that could result in changes to working-practices (Rowley, Morriss, Currie, & Schneider, 2012). The CLAHRCs’ contribution to overcoming the ‘second translational gap’ should therefore be viewed in terms of organisation-level intervention and change.

However, each CLAHRC enjoyed great flexibility in interpreting their broad remit, and this was reflected in the development of different operational and management structures, and distinctive visions and environments for their translational work-programme. Our study’s focus here centres on case-study analyses of two of the nine CLAHRCs (termed here cases A and B to protect confidentiality and sensitive data). This case selection for the purpose of illuminating complementary features and relationships within each CLAHRC’s model (Eisenhardt & Graebner, 2007) supported our overarching concern with the role and boundaries between the organisational context and the process of knowledge translation.

Both case-study CLAHRCs were structured in broadly similar organisational terms, with a central management team, and sets of project-teams conducting clinical-research and implementation
work-programmes. In addition, each initiative comprised shared support services where members provided expertise such as health economics, statistics, implementation, healthcare-commissioning, healthcare-management, clinical-practice and social-sciences insight. The work programmes of the CLAHRCs also encompassed a range of outputs, including sharing new research evidence to inform decisions made by local commissioners, incorporating findings into local and national clinical-guidelines, contributing to local healthcare services re-design, empirically-testing and implementing new interventions to be used by a particular Trust, and becoming a source of information for local clinical-networks to support service development.

Differences between the CLAHRCs emerged in terms of the range of professional groups involved. CLAHRC A was centred upon a leadership team of social- and clinical-scientists, with project teams being dominated by clinical-academics. The majority of members were co-located within a university setting. The core management team for CLAHRC B included several members who held dual academic and practitioner positions, and who provided links between different disciplines within research and practice. Project-team members were from varied academic, and healthcare-practice and management backgrounds, and were dispersed across partner organisation locations. This variation in professional affiliations was also reflected in differences in their organisational structure. In CLAHRC A, ‘shared support’ members were grouped separately from project-teams, while in CLAHRC B these support services were integrated within the practice either of senior management or clinical project-work.

Our analysis focused on exploring how boundary-spanning within work-practices interacted with the contextual features developed by each CLAHRC (Baxter & Jack, 2008). Hence, we found it was not necessary to differentiate between types of outputs to illuminate organisational characteristics. As outlined in Table 1, and reflecting the literature discussed above, we viewed the CLAHRCs as defining multiple, co-existent boundaries for knowledge translation.

Our three-year study, for which we were granted full ethical approval (10/H1208/30), commenced in January 2010, around a year after funding for the initiatives had started. We adopted a multi-method, longitudinal approach to consider their development over time. Our data included 67 semi-structured interviews with individuals who represented the variety of roles and positions within the initiatives, including members of core management, shared support services and clinical project-teams. We also collected observational data (e.g. core management, project-team and advisory board meetings, and knowledge-exchange, dissemination and engagement events) and key documents (e.g. original bid documents, project outlines, and publications). All interviews were audio-recorded and transcribed for analysis, and we used the qualitative data package NVIVO for data coding. Within this paper, we draw on data from the earlier stages of the initiative, which explored set-up, focussing on features such as how structure, organisation, management, and leadership influenced how the work-programmes were being achieved in practice. The interviews were designed to explore accounts of how work-programmes were being undertaken in relation to the evolving context of each initiative. Topics discussed included the management and organisation of the initiative, the types of activities that had been developed to support knowledge translation, and the processes that were being used to facilitate collaborative working. Within the interviews, we discussed for what purposes, and in what ways boundary-spanning to other members of the initiative and external groups were being achieved in practice by members of the project teams.

Our analysis broadly followed Fereday and Muir-Cochrane’s (2008) staged approach, combining both inductive and deductive thematic analysis to develop codes from interview data. Although this followed a linear ‘step-by-step’ procedure, it also facilitated an iterative and reflexive process, where our analysis built upon our pre-existing conceptual insight that boundary-spanning between different communities was important in relation to further exploration of how knowledge translation was being achieved in different contexts. Our coding process combined both hierarchical coding which facilitates the capturing of fine-grain detail, and axial coding to reflect on relationships between themes (Espinosa et al. 2007). In our analysis of this data, boundary-spanning was identified as a top-level theme, and we continued developing our coding to explore the types of mechanisms and processes used to facilitate this, and to identify the emergent features that were associated with each case. The summary results of this analysis are outlined in Table Two. They highlight, with illustrations from our data, the following: boundary-spanning mechanisms; organisational processes; activities and events; and roles.

**Table 1**

<table>
<thead>
<tr>
<th>Type of boundary</th>
<th>Boundary manifested in:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Epistemic</td>
<td>Linked to different conceptualisations of knowledge</td>
</tr>
<tr>
<td>Professional</td>
<td>Determined by the quality of relationships between professional groups.</td>
</tr>
<tr>
<td>Organisational</td>
<td>Between ‘co-team’ members from different disciplines or areas of professional-practice</td>
</tr>
<tr>
<td>Within project teams</td>
<td>Between members in different parts of the initiative: e.g. project-teams, the core and shared support services</td>
</tr>
<tr>
<td>Between project teams and senior management</td>
<td>Between members of the initiative and those ‘outside’ whom they hoped to influence e.g. local healthcare commissioners, national policy-makers, Trust Chief Executives, healthcare managers of clinical-services, clinical staff in specific clinical disciplines, local clinical-networks of key influential stakeholders, national academic community</td>
</tr>
<tr>
<td>Between initiative and external stakeholders</td>
<td></td>
</tr>
</tbody>
</table>

**Findings**

As outlined in Table 2, we identified six types of boundary-spanning activity used in both cases as follows: (1) arrangements used to connect clinical project-teams with core management, and (2) the process through which clinical project-teams access expertise provided by specialist support services; (3) events or activities for acquiring information and insight; (4) events or activities for sharing evidence and dissemination; (5) inward-focused brokering within the initiative and (6) outward-focused roles to external groups.

In the next stage of our analysis, outlined in Table 3, we then related the different mechanisms outlined above to the different boundaries that emerged as salient within each CLAHRC, analysing how members of project-teams interacted and shared knowledge across such boundaries. We were thus able to identify how the different contextual features of each initiative were important in shaping a process of knowledge translation as enabled by the relevant boundary-spanning mechanisms and processes.

What emerges from this analysis are two distinct patterns in the salience of boundaries experienced by different groups and the means by which they were overcome. In CLAHRC A, organisational and epistemic boundaries are strongly defined by professional and disciplinary structures. There is a relatively homogeneous core group within each project team, and teams are organised in a hub.
and spoke arrangement around the senior leadership team of clinical academics. The practices of research and implementation are explicitly divided by these boundaries, and the boundary-spanning mechanisms of processes, events and support roles are oriented towards bridging this divide. In contrast, in CLAHRC B professional and disciplinary boundaries are much less salient. The senior leadership team enact dual roles which are situated in the domains of both research and practice. Project teams are heterogeneous, encompassing multiple disciplines and not centred on a homogeneous disciplinary core.

We characterise these related features of both boundary salience and boundary-spanning found in our cases as reflecting two different approaches to knowledge translation, termed ‘bridging’ and ‘blurring’. In the following sections, we unpack these approaches further and relate them to the wider CLAHRC context through our qualitative analysis of each CLAHRC’s development.

<table>
<thead>
<tr>
<th>Boundary-spanning activity type</th>
<th>CLAHRC A Illustrative examples from our data</th>
<th>CLAHRC B Illustrative examples from our data</th>
</tr>
</thead>
<tbody>
<tr>
<td>Organisational processes</td>
<td>A member from central management describes her role within the project meetings as “to remind the project team of central management’s priorities and viewpoints for the vision of the initiative.”</td>
<td>Multiple overlapping CLAHRC roles within both central management and project teams</td>
</tr>
<tr>
<td></td>
<td>Homogenous project teams and structural features to connect these to other members who have different expertise</td>
<td>Heterogeneous project teams</td>
</tr>
<tr>
<td></td>
<td>As project team members are from similar backgrounds, the CLAHRC model is designed to connect project teams to those with skills to do implementation work — “We [shared support services] will do the implementation work and we will do the overall knowledge-broker support.”</td>
<td></td>
</tr>
<tr>
<td>Activities &amp; events</td>
<td>Designated activities to facilitate access to advice about how CLAHRC work is conducted</td>
<td>Informal advisory sources about how to conduct CLAHRC work based on pre-existing social networks</td>
</tr>
<tr>
<td></td>
<td>The CLAHRC developed a common template and approach to writing-up findings for all project teams to connect with local external communities.</td>
<td>Implementation integrated within routine work of team members</td>
</tr>
<tr>
<td>Roles within CLAHRC</td>
<td>The CLAHRC structure deliberately created boundary spanning positions to provide project teams with different types of expertise. One participant describes how their role is as a guide to link the clinical teams with the decision makers with whom the outputs of the project are designed to impact “I am a guide, a support. I will introduce people to people, commissioners to researchers”</td>
<td>Informal boundary spanning roles providing specialist expertise</td>
</tr>
<tr>
<td></td>
<td>Explicit knowledge broker roles created to link clinical project teams to external communities were incorporated into the structural design of the overall initiative. “Through the knowledge brokers, CLAHRC can spread the word from the inside via people in this creative role”</td>
<td>Hybrid CLAHRC and non-CLAHRC roles</td>
</tr>
</tbody>
</table>

Table 2
Types of boundary-spanning activities used by the CLAHRCs.
Boundary-spanning using a ‘bridging’ approach

In CLAHRC A, leadership of the initiative was comprised of academics from clinical- and social-science disciplines. Clinical-academics in leadership positions (i.e. typically professors from medical school clinical sub-disciplines) informed clinical-research project design. Each project-team was established around the team leader, with the majority of team members being from similar clinical-academic areas who took up designated roles for research and management of work-programmes. Those in leadership positions from social-science disciplines were influential in incorporating initiative-wide structural features to support the organisation of translational activities. As the initiative was formed around a large proportion of clinical-scientists, it was considered that they would not easily be able to interact to translate knowledge with communities that had different working-practice cultures. Therefore, features such as shared support services were created where initiative members were employed with the explicit remit of connecting the work conducted by academic-researchers with relevant external healthcare communities.

“For the clinical-scientists this is a complete new way for them to do any work…Our model is for the clinical teams … we [shared support services] will do the implementation work and we will do the overall knowledge-broker support.” [Shared support services lead]

Included within this was the creation of positions within project-teams designed to explicitly link core clinical-academic members with others who could contribute different forms of expertise.

“The idea of knowledge-brokering is using these people to work in [to our project-teams], and then we work out with them [to their communities], because they’ll be key in building the networks.” [Core management lead]

Thus the practices and epistemic commitments of project-teams depended on a relatively homogeneous ‘core’ of members drawn from similar professional backgrounds. This ‘core’ group adopted a clinical-sciences approach to their programmes of work. Meanwhile, the roles of individuals outside of this core group evolved so that they became the link connecting the project-team with relevant practitioner and user-groups. For example, one such translator guided how best to frame the potential benefit of the project’s findings in the context of the pressures and priorities of managers.

<table>
<thead>
<tr>
<th>Organisational boundaries spanning mechanisms</th>
<th>CLAHRC A Description of use</th>
<th>CLAHRC B Description of use</th>
</tr>
</thead>
<tbody>
<tr>
<td>Within teams</td>
<td>Co-located ‘core’ of project teams</td>
<td>Knowledge broker roles located on the ‘edge’ of the project team. These individuals naturally belong in another environment, but for their CLAHRC roles they compromise their own approach to work to fit in with the project team. They enact a role to connect the project team to their ‘home’ context, and act as facilitators for knowledge flow between these settings.</td>
</tr>
<tr>
<td>Between teams and core management</td>
<td>Distinct CLAHRC positions</td>
<td>CLAHRC organisation creates distinct CLAHRC positions - members move to the space of other parts of the CLAHRC to interact (e.g. central management to a project meeting), and then go back to their ‘home’ environment and main CLAHRC role</td>
</tr>
<tr>
<td>Between initiative and external stakeholders</td>
<td>Designated activities</td>
<td>With the detail of work-programme plans (focus &amp; design) largely set at the beginning, activities such as stakeholder workshops are developed specifically for the purpose of CLAHRC work. They principally are formed from new connections, and create a time and space where CLAHRC members can meet with new external communities.</td>
</tr>
<tr>
<td>Professional</td>
<td>Designated knowledge broker positions</td>
<td>Knowledge broker positions were created to second individuals from external communities to spend a proportion of their working week working with CLAHRC project teams.</td>
</tr>
<tr>
<td>Epistemic</td>
<td>Homogenous ‘core’ team composition &amp; formal boundary spanning positions</td>
<td>Project work follows the style of one community’s approach, with team leaders re-enforcing depth of expertise through providing technical (scientific &amp; methodological advice), meaning that most team members can naturally work within the dominant (clinical-academic) approach. It is through explicit boundary spanning mechanisms (e.g. through broker roles and designated events) where different types of knowledges are considered and translated.</td>
</tr>
</tbody>
</table>
for a particular local clinical specialty. As core team members were co-located, this further emphasised the informal demarcation with these ‘knowledge-broker’ members, who often had bases in other organisations. As a result, this group were seen as being positioned towards the periphery of the project team, acting as a link between the team and external communities. One example of this is provided by a team member from a nursing background who was allocated a defined boundary-spanning role within a project. Here she describes how she drew on her practitioner experience to discuss the impact of the study with practitioner groups and then guided the team to develop a more sustainable approach.

“What practitioners said to me I’ll bring back to the team meeting... it’s two-way, facilitating what their ideas are or problems are, obviously so we can sort them out.” [Project-team member in designated knowledge-broker role]

We observed in the team meeting how the knowledge-broker’s insight was debated alongside its implications for academic rigour, with high-quality journal publications emerging as a central pre-occupation. In these discussions, the project-lead enacted her role, in terms of applying technical insight that maintained the dominant clinical-science focus of the team’s working-practices. Knowledge translation activity thus depended heavily on the agency of those in peripheral boundary-spanning roles, and their ability to adjust their own working-practices to accommodate the norms and practices of the project team.

Structures and processes were designed by the initiative for the specific purpose of linking project-teams with expertise from other communities. These included holding advisory boards, stakeholder meetings and events, clinical-academics who took up ‘honorary’ positions at partner healthcare organisations, and team members who, specifically for the purpose of project-work, sought to become part of other stakeholder groups and decision-making forums.

"Without the initiative we would have had links with the networks within our clinical area potentially, we would have known about it, but I think through the umbrella of the initiative we’ve kind of formalised that working arrangement and looked at ways of doing things much more collaboratively.” [Project-team-lead]

These arrangements created a ‘separate space’ where project-team members could engage for a strictly delimited time with the knowledge and insights offered by other communities. Specialist support services within the CLAHRC assisted with ‘translating’ project findings into a style more appropriate for external communities, including hosting dissemination events and defining the form of written outputs. Whilst project-teams were exposed to different types of insight at these events, the effect on their practices was episodic rather than continuous.

**Boundary-spanning using a ‘blurring’ approach**

In CLAHRC B, project-teams were composed of a mix of academics (e.g. nursing, allied-health, clinical-sciences, health-studies) and practitioners and managers from healthcare-practice. As project-team leads often came from a different discipline to most other team members, their role did not centre on providing technical support (e.g. scientific and methodological direction). Instead it focused on guiding members to engage with the vision of the translational initiative.

"On the face of it, I don’t fully connect with all of the different parts of the project... I knew that the initiative was obviously about the second gap in translation and building networks, but once I started working in my role that became the primary focus.” [Project-team-lead]

CLAHRC members, both at senior management and project levels, often played ‘dual’ or ‘hybrid roles’ being involved in both research and in a practitioner role within the NHS. This duality supported the integration of the practices of research, dissemination and implementation within the work-programmes. In one example, a team-member describes how she drew on both her academic expertise and practitioner experience to support fluid integration of the different project-work phases. As well as leading the conduct of research, she actively supported the implementation process.

“For implementation, there will be some early phases to it where I’m not actually seeing patients and I’ll be breaking down barriers, things like working with IT departments within the hospitals, and also working with the clinical teams to see where this will fit and how we actually tie it in to what’s happening already... and then after that start our work clinically delivering that service.” [Project-team member (with research and practitioner expertise)]

By combining an in-depth understanding of research issues with a practitioner appreciation of the challenges of implementing service changes, she was able to tailoring the intervention to problems identified by the team. Outputs produced from projects were also readily disseminated into external communities by individuals holding these ‘hybrid’ positions.

The overlap of roles and responsibilities within and between a large senior management group and those in positions of leadership within project-teams itself acted as a boundary-spanning mechanism to coordinate different types of knowledge. Those members with ‘specialist’ forms of expertise were also fully socialised members of project-teams, allowing their different insights to routinely inform work-programmes. Whilst each member obviously brought their own skill set, no one professional community dominated and, there was flexibility in how roles were enacted. Members continually drew on insights from a combination of practices as enacted both by colleagues within the initiative, and from external communities. In this sense, boundary-spanning activity occurred through the integration of multiple forms of knowledge within day-to-day project-work. Our data demonstrates how even discrete boundary-spanning mechanisms, such as project meetings and advisory groups, aligned with this, as they supported the synthesis of different perspectives. As one project-lead describes it:

“You see everyone has got a different perspective... we deliberately wanted to incorporate a collaborative project between all those different groups. That was the aim really... to make sure that we were using different methodologies so it’s methodologically diverse.” [Project-team-lead]

Project-team members also freely interacted with the other types of knowledge made available within these heterogeneous project teams. However, a shared understanding about the overarching purpose of CLAHRC helped members to work in complementary ways. Even where members were given designated knowledge-broker roles within CLAHRC B, they were not positioned on the periphery of the project-teams but supported connections between the creation and utilisation of evidence, as is described by one knowledge-broker.

“I work between a number of different organisations. It was useful that I am actually from an academic background myself... because I work for the NHS and ensure that the [initiative’s] work is
embedded within this NHS organisation. So it’s very much that boundary-spanning role. I have two identities.” [Project-team member in designated knowledge-broker role]

In CLAHRC B, professional and disciplinary boundaries were less salient, and team members’ experience of project work emphasised a readiness to draw on and combine insights from different perspectives and other communities. This was facilitated by an evolving, less prescriptive approach to developing study designs and plans, in which work-programmes were not specified in detail at the outset.

Discussion and considerations for policy and practice

As many studies of knowledge translation models are not based on empirical research (Crilly et al. 2010; Mitton et al. 2007), our findings are important as they depict primary research into ‘real-world’ utilisation of boundary-spanning mechanisms and processes, contributing to an understanding of “what works in what contexts” (Mitton et al. 2007, p. 756). In this section, we consider characteristic features of how the two boundary-spanning approaches achieve knowledge translation. We then reflect on how these different emergent patterns of knowledge translation were influenced by key features of the pre-existing institutional environment, organisational structure and operational management of each CLAHRC as a system-level translational intervention.

In CLAHRC A, boundary-spanning mechanisms acted as ‘bridges’ to facilitate the translation of knowledge. This sustained an environment where communities on either sides of the ‘gap’ were not required to radically alter their work-practices. An advantage of this approach is that researchers are not required to develop new skill-sets for knowledge translation, but instead rely on supplementary mechanisms (e.g. a knowledge-broker or translational activity) to enact translational processes (Lavis et al. 2003). This approach allowed project-members to focus on developing greater depth of research expertise. As described, this was important in CLAHRC A, where there was strong institutional pressure from the university-partner to produce high quality academic publications. The way in which mechanisms were used in CLAHRC A was similar to other examples described in the empirical literature. These include the creation of spaces for ‘producer’- and ‘user-groups’ to engage in end-of-grant knowledge translation activities where knowledge is adapted for different audiences (CIHR, 2010), and the use of safe harbours (Lavis, 2006), or regular face-to-face meetings (Baumbusch et al. 2008), to create a forum for the exchange of ideas between academics and practitioners to support the translation of knowledge from a research-programme.

However, from CLAHRC B our study also found that knowledge translation can occur through a different type of process, which we call ‘blurring’, and which has not been depicted in previous health-studies literature. This may reflect in part an over-simplification within existing accounts of how knowledge is exchanged between homogenous ‘producer’ and ‘user’ groups, with little regard to the complexity of human motivations and relationships (Contandriopoulos et al. 2010). The distinction between approaches can shed light on differences in the enactment of knowledge-broker roles. Thus, although both our cases employed project-team members as designated knowledge-brokers, these roles were performed differently in the ‘blurring’ case to accounts provided in the existing literature, which depict these individuals acting as the key link between groups (Dobbins et al. 2009; Lomas, 2007; Ward et al. 2009). In contrast, with the ‘blurring’ approach, knowledge translation occurs as a continuous and incremental process which is situated within routine, day-to-day practices. As our account of project work demonstrates, members from different communities with distinct (but often overlapping) expertise implicitly pursued the mutual adaptation of practices to pursue CLAHRC goals. When each small-scale translational moment is considered discretely, the translation of knowledge is less observable, but when the sum of these processes is considered, there is the potential for large scale ‘transformation’ of knowledge, and ultimately impact on practice, across complex boundaries (Carlile, 2004).

Although the notion of ‘blurring’ has not been identified in previous literature in the healthcare field, a relevant framework from wider literature is Latour’s (2005) distinction between intermediaries who only transport knowledge, and mediators who may transform its meaning. This seems a useful concept for understanding differences between the ‘bridging’ and ‘blurring’ cases. Knowledge translation though ‘bridging’ was achieved through ‘transportation’ into and from project teams to span the wide gaps between communities with very dissimilar forms of knowledge. In contrast, the knowledge created through ‘blurring’ approaches involves the integration of existing knowledges (Alin et al. 2011). In this sense, ‘blurring’ forms of boundary-spanning have the potential to transform established professional expertise into more synthetic forms of knowledge that transcend established specialist domains, but which can be more readily utilised due to the closer, overlapping relations between the communities involved (Amin & Roberts, 2008; Powell, Koput, & Smith-Doerr, 1996).

Our distinction between ‘bridging’ and ‘blurring’ approaches for knowledge translation does not correspond to existing knowledge translation models. Rather, our study contributes to an understanding of how different boundary-spanning approaches help achieve knowledge translation within a particular context, and further how they emerge from, and help to shape that context. It follows that both of these approaches may be relevant to implementing a particular knowledge translation model in practice. For example, both ‘bridging’ and ‘blurring’ approaches might be used to support the translational activity within the CIHR’s six opportunities within the research cycle (Sudsawad, 2007). What determines their appropriateness is not the model per se, but rather the interplay between an initiative’s specific context and unfolding role-enactment and work-practices.

In CLAHRC A, given the socio-historical attributes of the local environment, many contextual features were explicitly supportive of a particular form of knowledge translation activity. For example, due to pressures from the academic-host organisation, the involvement of high profile clinical-academics could only be secured by allowing them to determine a particular disciplinary emphasis in their project-work. This in turn shaped project-team composition and role-enactment, and the wider framing and formation of work-programmes moulded members’ work-practices. As project team work was centred on a dominant disciplinary area, the role of project-leads adapted to this context by focussing on the provision of technical advice on scientific and methodological issues. This helped these teams to achieve greater depth in the work that they produced within this disciplinary field.

In contrast, the socio-historical attributes of CLAHRC B model helped to produce a context in which professional boundaries and divisions in practice were much less emphasised, thus supporting the ‘blurring’ of boundaries. As their project-teams drew from a wide range of expertise including professional-science academics (e.g. allied health & nursing), communities were more closely aligned, and knowledge boundaries between both disciplinary-science academic groups (e.g. economics and sociology) and practitioner groups (e.g. doctors and nurses) were reduced (Landry, Amara, & Lamari, 2001). The senior management of the initiative actively legitimised more innovative working practices which were
less closely tied to professional norms. The role of project-leaders was also focused on encouraging these new work practices, rather than providing technical expertise. Although this approach had implications for the depth of research which could be conducted within a particular disciplinary field, overall these features helped to integrate team members who were not spatially co-located, and helped support the development of new working practices within the CLAHRC. The presence of joint-appointment academic-practitioners in the senior management team also helped to support this approach by validating more ‘hybrid’ and less professionally embedded forms of role enactment.

In conclusion, in our two CLAHRC cases we observed boundaries to knowledge translation being constructed and overcome in strikingly different ways. Where professional boundaries were experienced as strong and highly salient, organisational processes, activities and roles were explicitly designed to ‘bridge’ the divisions in practice. In contrast, where such boundaries were de-emphasised, these mechanisms operated through the implicit blurring of distinctions between professional roles and knowledges. This relationship between the organisational context and boundary-spanning mechanisms has important implications for both research and practice in the area of knowledge translation. For one, it suggests that even when collaborative-networks use ostensibly similar activities, such as knowledge-broker roles, they may achieve knowledge translation in different ways. At a practical level, and in response to Mitton’s et al. (2007) comment that no one strategy fits all circumstances, and VanEerd’s et al. (2011) call for better assessment of knowledge translation tools, our findings are useful for determining, from the outset of a new initiative, how boundary-spanning mechanisms may operate within a particular context.

Acknowledgement

This paper was developed from a wider study conducted by a project-team based at the University of Warwick and Bentley University. The project was funded by the National Institute for Health Research Service Delivery Organisation programme [project number: 09/1809/1075]. The views and opinions expressed therein are those of the authors and do not necessarily reflect those of the SDO programme, NIHR, NHS or the Department of Health. We are extremely grateful for the support provided by our case-study CLAHRCs, and especially to the individual interviewees for their time and interest in the study. We would also like to thank our colleagues, Professor Sue Newell, Professor Jacky Swan, Professor John Powell, Dr. Daniela D’Andretta and Dr. Marco Marabelli for their support and input in developing this paper.

References


